

## **SUMMARY OF THE NEW CONCLUSIONS OF THE DOCTORAL THESIS**

**1. Thesis topic:** "OPERATIONAL RISK MANAGEMENT OF AT VIETNAM JOINT STOCK COMMERCIAL BANK FOR INDUSTRY AND TRADE".

**Major:** Finance - Banking

**Code:** 9.34.02.01

**2. Full name of PhD student:** Lam Tang Hung

**3. Full name of scientific supervisors:** Assoc. Prof. Dr. Pham Ngoc Anh and Dr. Nguyen Thi Viet Nga

**4. New conclusions of the thesis:**

### **New academic and theoretical contributions**

The thesis has systematized and contributed to clarify the rationale on prevention and limitation of operational risks, and manage operational risks of commercial banks to adapt to new changes.

### **New proposals drawn from the research results**

The thesis has studied experiences in the prevention and limitation of operational risks of foreign banks and credit institutions , then draw useful lessons that can be applied in managing operational risks for Vietnamese commercial banks.

The reasearch has evaluated the current state of operational risk management, especially point out the limitations and identify their causes at Vietnam Joint stock Commercial bank for Industry and Trade for the period 2015 - 2019.

The study has also proposed a system of positive and feasible solutions to prevent and limit operational risks at Vietnam Joint stock Commercial bank for Industry and Trade in the coming time.

The thesis has proposed necessary recommendations to the State Bank to effectively implement the proposed solutions to increase prevention and limit operational risks at Vietnam Joint stock Commercial bank for Industry and Trade

*Hanoi, November 17<sup>th</sup>, 2020*

**Scientific supervisors**

**Phd student**

**Supervisor one**

**Supervisor two**

*Assoc. Prof. Dr. Pham Ngoc Anh    Dr. Nguyen Thi Viet Nga*

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