

**THE SOCIALIST REPUBLIC OF VIETNAM**  
Independence - Liberty - Happiness



*Hanoi, June 2nd 2021*

**EXECUTIVE SUMMARY**  
**ON NEW CONTRIBUTIONS OF THE THESIS**

**1. The thesis:** "Raising capital to develop SMEs in Hanoi"

**2. Major:** Banking - Finance

Code: 90.34.02.01

**3. PhD candidate:** Ngo Thi Huong Thao

**4. Scientific instructors:** - Assoc. Prof. Dr. Doan Huong Quynh  
- Dr. Tran Duc Trung

**5. New contributions of the thesis are as follows:**

***5.1. In term of theoretical aspect:***

- Through an overview of domestic and foreign scientific works, the thesis has considered issues related to mobilizing capital to develop small and medium-sized enterprises, thereby identifying the "gap" to be further researched and key issues to be solved.

- The thesis systematizes and clarifies some basic theories on developing small and medium-sized enterprises, mobilizing capital to develop small and medium-sized enterprises, and clarifying some following issues:

+ Definitions (capital mobilization, small and medium-sized enterprise development, capital mobilization to develop small and medium-sized enterprises), the relationship and impact of capital mobilization on the development of small and medium-sized enterprises.

+ System of indicators reflecting capital mobilization and development of small and medium enterprises.

+ Factors affecting capital mobilization to develop small and medium enterprises.

- The thesis researches, synthesizes experience in raising capital to develop small and medium-sized enterprises in some countries and some provinces in Vietnam, hence consulting experience that can be referenced for small and medium-sized enterprises in Hanoi to mobilize capital for development.

***5.2. In term of practical aspect:***

- Based on secondary data sources from reports of the Government and state management agencies, Statistical Yearbooks, reports of the Vietnam Chamber of

Commerce and Industry (VCCI), survey results on SMEs of economic organizations in the country and Hanoi, documents, scientific journals and doctoral dissertations, the thesis analyzes the current situation of capital mobilization for the development of small and medium-sized enterprises in Hanoi in the period 2010 - 2019.

- The thesis has applied the quantitative model - Dupont equation to analyze the relationship between the results of capital mobilization and the development of small and medium-sized enterprises in Hanoi in the 2010 - 2019 period. On the basis of "Information collection form for small and medium-sized enterprises in Ha Noi" the current situation of capital mobilization for the development of small and medium-sized enterprises, the thesis evaluates the results, limitations and causes of limitations in capital mobilization to develop small and medium enterprises in Hanoi in the period 2010 - 2019.

- From the development strategy of Hanoi to 2030, a vision to 2045 and the development plan of Hanoi to 2030, the thesis gives the perspective of developing small and medium-sized enterprises and the view of mobilizing capital to developing small and medium enterprises in Hanoi. On that basis, the thesis proposes solutions to improve capital mobilization for the development of small and medium-sized enterprises in Hanoi until 2025, with a vision to 2030.

## **THE SCIENTIFIC INSTRUCTORS**

## **PhD CANDIDATE**

**Instructor 1**

**Instructor2**

**Assoc.Prof. Dr. Doan Huong Quynh**

**Dr. Tran Duc Trung**

**Ngo Thi Huong Thao**