

SUMMARY OF NEW CONTRIBUTIONS IN THE DOCTORAL THESIS

1. **Thesis topic:** “*The management of Health Insurance Fund in Vietnam*”
2. **Specialization:** Finance – Banking **Code no:** 9.34.02.01
3. **Full name of PhD student:** Pham Thu Huyen
4. **Full name of Scientific Supervisor:** 1. Dr. Bui Tien Hanh
2. Dr. Nguyen Viet Cuong

5. New contributions in the doctoral thesis

5.1 About the theoretical basic:

Firstly, the thesis systematizes the theoretical framework of health insurance fund management in terms of: Concept, objectives, principles, content and influencing factors. The thesis systematizes the content of the health insurance fund management in connection with the activities of the fund, including: Management of collection and management of expenditure, management of revenue and expenditure balance and management of investment in health insurance fund from the planning and implementing stage to controlling and evaluating stage.

Secondly, the thesis systematizes and creates 32 basic criteria to assess the effectiveness and effect of the management of the health insurance fund, including evaluation criteria on collection management, expenditure management, revenue and expenditure balance management and investment fund management.

Thirdly, by summarizing and studying the experience of managing health insurance fund in Germany, France, Japan, and Korea, the thesis indicates 05 practical lessons that could be applied for Vietnam.

5.2 About the practices:

Firstly, the thesis has generalized the process of formation and development of health insurance fund as well as the organizational structure of the management apparatus of Vietnam Health Insurance Fund; analyzed the status of Vietnam health insurance fund management in the period of 2010 - 2017 on 04 aspects: management of collection, management of expenditure, management of revenue and expenditure balance and management of investment in health insurance fund. Also, it is clearly pointed out the basic limitations of managing

health insurance fund, namely: (i) The collection of health insurance fund is not really sustainable, low ability in mobilization and has not met the increasing trend of medical examination and treatment costs; (ii) Control of medical examination and treatment costs is not effective; (iii) Forecasting the balance of health insurance fund is unreliable that leads to unbalanced health insurance fund in some locations and threatening the financial sustainability of health insurance fund in the future; (iv) Investment activities have not determined the source of investment capital and have not fully utilized the dormant capital. It is believed that the main causes of these limitations as below: (i) Policies on health insurance; (ii) The health insurance fund management organization; (iii) The management tools.

Secondly, according to the view and objectives of health insurance development, the thesis proposes 04 basic views, 06 solutions and some recommendations to strengthen the management of Vietnam health insurance fund until 2025 and vision of 2030. The solutions to strengthen management of health insurance fund include: (i) Solutions to strengthen of fund collection management; (ii) Solutions to strengthen of fund expenditure management; (iii) Solutions to strengthen of fund balance management; (iv) Solutions to strengthen of fund investment management; (v) Solutions to improve the organization of fund management apparatus; (vi) Solutions to improve fund management tools. These solutions are both science - based and practice -based solutions so that they are ethical and feasible.

Scientific Supervisor
First supervisor **Second supervisor**

Hanoi, 25th of June, 2019
PhD Student

Dr. Bui Tien Hanh

Dr. Nguyen Viet Cuong

Pham Thu Huyen