

**SOCIALIST REPUBLIC OF VIETNAM**  
**Independence - Freedom - Happiness**



**ABTRACT OF PHD DISSERTATION**

**I. Preamble**

- Doctoral candidate: *Vu Quang Phien*
- Dissertation title: "*The State Budget-funded basic construction investment capital management in the Military Region 3 - Ministry of National Defense*"
- Major: *Finance - Banking*                      Code: *9.34.02.01*
- Institution: *Academy of Finance*

**II. Main contents**

**1. Targets, missions and objects of the study**

*Target of the study* is to build views and solutions to strengthening the State Budget-funded basic construction investment capital management in the Military Region 3 - the Ministry of National Defense with the scientific basis in terms of highly feasible practice and theory

*Mission of the study:*

- Systematize, analyze and clarify the theoretical foundation of basic construction management and the State Budget-funded basic construction investment capital management.
- Summarize, analyze and prove to clarify the reality of the State Budget-funded basic construction investment capital management in the Military Region 3 - the Ministry of National Defense, achievements, limitations and the causes of such limitations
- Develop systems, target, viewpoints, solutions and conditions for strengthening the State Budget-funded basic construction investment capital management in the Military Region 3-the Ministry of National Defense until 2025 and a vision to 2030 with the scientific basis in terms of highly feasible practice and theory.

*Objects of the study* are theoretical and practical issues about the State Budget-funded basic construction investment capital management in the military, in reference to the Military Region 3 - the Ministry of National Defense

**2. Applied methodologies**

The applied scientific research methodologies in the Dissertation are dialectical and historical materialism. Specific methodologies in this Dissertation include:

- *Generalized methodology:* is used to synthesize, selectively inherit theoretical issues about the State Budget-funded basic construction investment capital management as a basis for forming a theoretical basis for this study
- *Methodologies of statistics and comparisons:* are used to collect, make the secondary data and to conduct tabulation, graphing, comparison and evaluation of the study contents.
- *Methodology of analysis:* The author analyzes and clarify reality of the State Budget-funded basic construction investment capital management on basis of the generalized information

**3. Main findings and conclusions of the Dissertation**

*Firstly*, the dissertation systemizes the State Budget-funded basic construction investment capital management in the following aspects: The concept, classification, characteristics and features of using basic construction capital in the fields of national defense and security. The dissertation affirms that: the State Budget-funded basic

construction investment capital management has 2 specific characteristics and the sequence of the State Budget-funded basic construction project implementation sequences consists of 4 vertically and horizontally arranged stages.

*Secondly*, the Dissertation systemizes the content of the State Budget-funded basic construction investment capital management associated with the management of the budget cycle, including: Planning, verifying and allocating basic construction capital; management of expenditure, advance, payment of completed volumes; Finalizing basic construction capital, and inspecting, examining and auditing the State Budget-funded basic construction investment capital.

*Thirdly*, the Dissertation systematizes and builds basic criteria to evaluate the effectiveness and validity of the State Budget-funded basic construction investment capital management, including qualitative and quantitative evaluation criteria

*Fourthly*, it generalizes the management organizational structure for the State Budget-funded basic construction investment capital; analyzes reality of the State Budget-funded basic construction investment capital management in the period of 2012-2018 in terms of planning, verifying and allocating basic construction capital; management of expenditure, advance, payment of completed volumes; Finalizing basic construction capital, and inspecting, examining and auditing the State Budget-funded basic construction investment capital to address the causes and the limitations.

*Fifthly*, thoroughly grasping the views and target of the State Budget-funded basic construction investment capital management, the Dissertation proposes 9 basic views, 05 solutions groups and some recommendations to strengthen the State Budget-funded basic construction investment capital management until 2025 and a vision to 2030. Solutions to increasing the State Budget-funded basic construction investment capital management include: (i) The group of solutions to completing, planning, allocating the basic construction capital; (ii) The group of solutions to accelerating disbursement and payment of the basic construction capital; (iii) The group of solutions to strictly implementing the process of settling the State Budget-funded basic construction investment capital; (iv) The group of solutions to strengthening inspection, control and audit of the implementation of the State Budget-funded basic construction investment capital

*Sixthly*, the Dissertation proposes 3 recommendations including: (i) The State should continue to improve the system of legal documents and strengthen the organizational structure for the State Budget-funded basic construction investment capital management with focus on review on awareness of some concepts, payment term for the completed quantity of basic construction projects from the state budget; management organizational structure for the State Budget-funded basic construction investment capital; (ii) Strictly implementing the law, building and perfecting the punishment mechanism; (iii) Completing the planning work in basic construction management.

**SCIENTIFIC SUPERVISORS**

**Doctoral candidate**

*Scientific Supervisors*

**The first Scientific  
Supervisor**

**The second Scientific  
Supervisor**

**Assoc. Prof & Dr.  
Nguyen Trong Than**

**Dr. Pham Duc Hong**

**Vu Quang Phien**