

THE SOCIALIST REPUBLIC OF VIETNAM

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Hanoi, March 17th, 2023

SUMMARY OF NEW CONTRIBUTIONS OF THE THESIS

1. The thesis: "Capital mobilization for small and medium enterprises in Nghe An "

2. Major: Banking - Finance Code: 90.34.02.01

3. Postgraduate: Nguyen Thi Anh Giang

4. Scientific instructors: - Dr. Bach Duc Hien
- Dr. Nguyen Thuy Linh

5. New contributions of the thesis

5.1. In terms of the theoretical aspect

- Summary of capital mobilization methods of enterprises, including methods of raising equity capital, methods of raising capital for liabilities, methods of raising capital from private investment funds, methods of raising capital from government support funds, other methods of raising capital such as raising capital from family and friends, from the community, factoring.

- Perfecting the theory on factors affecting capital mobilization activities, including the challenge of capital mobilization: lack of financial information, transparency of enterprise information, cost of capital, and capital mobilization conditions; Factors supporting capital mobilization include: Financial literacy training for business managers and leaders, programs to connect businesses with capital providers, government support programs, SME associations, exchanges. securities trading for SMEs and other actors.

- Develop a theory on the process of capital mobilization for SMEs, including capital mobilization planning, capital mobilization organization, and summarizing, analyzing, and evaluating the implementation of capital mobilization.

5.2. In terms of practical aspects:

- Outline the overall picture of the situation of capital mobilization for SMEs in Nghe An in the period 2016 to 2020.

- Using SPSS statistical software to analyze quantitatively the factors affecting capital mobilization of SMEs in Nghe An province in the period 2016-2020.

- Assess the situation of capital mobilization for SMEs in Nghe An province in the period 2016 - 2020. Indicate limitations and causes of limitations in capital mobilization for SMEs in Nghe An province.

- The thesis proposes directions, viewpoints, and solutions to improve capital mobilization for SMEs in Nghe An until 2025, with a vision to 2030.

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THE SCIENTIFIC INSTRUCTORS

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