

SUMMARY INFORMATION
ABOUT NEW CONCLUSIONS OF THE DOCTORAL
DISSERTATION

1. Dissertation Title: “Development of securities investment funds in Vietnam”

2. Major: Finance – Banking; **Code:** 9.34.02.01

3. Doctoral Candidate: Pham Tien Dat

4. Supervisors:

1. Assoc. Prof., Dr. Nguyen Le Cuong

2. Dr. Le Thi Thuy Van

5. New Findings of the Dissertation:

Firstly, based on the study of relevant domestic and foreign documents, the author presents his own view on the development of securities investment funds from the perspective of state management, in accordance with the conditions of Vietnam. At the same time, the author completes the criterias for evaluating the development of securities investment funds under this approach.

Secondly, the author uses the Exploratory Factor Analysis and Multivariate Linear Regression models to identify influencing factors and measure the level of influence of these factors on the development of securities investment funds in the Vietnamese stock market. The combination of these two methods and the use of secondary and primary data increases the reliability of the analysis.

Thirdly, the author evaluates the current development of securities investment funds in Vietnam during the period 2017-2024 based on established criteria for both local and foreign funds. The author also points out the limitations and causes of the limitations.

Fourth, the author proposes solutions for state agencies to promote the development of stock investment funds in Vietnam until 2030. The results of the dissertation will be studied by the Ministry of Finance and the State

Securities Commission in the process of implementing solutions to develop the stock market in general and stock investment funds in particular.

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